

Thailand AERA Quarterly Activities Report #9
October-December, 2001
 Jan. 31, 2002
Accelerating Economic Recovery in Asia (AERA)
A US Government Funded Program
through the US Agency for International Development (USAID)
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Cooperative Agreement #442-A-00-99-00072-00 ANE to Kenan Foundation Asia

Executive Summary

Business Advisory Center

The BAC signed two agreements with financial firms to expand opportunities for its SME clients to have access to capital and financial advisory service. The Center held a series of workshops, including "Financial Management for Survival and Recovery", the ASEAN Free Trade Area and business development for NGOs. It signed agreements to establish an Internet-based course on business planning for SME managers that is scheduled to start in March. Although the terrorist attacks of September 11, 2001 resulted in the cancellation or postponement of travel by American experts, eight projects went forward with American volunteer experts. Projects also utilized Thai volunteer experts from the Thailand Volunteer Consulting Service and the BAC staff. The BAC worked on competitiveness issues with industry clusters in the software industry, the automotive industry and the food and agriculture industry.

Labor Standards Development

The Labor Standards Development Project assisted a series of workshops for the Ministry of Labour and Social Welfare, Industry Associations and labor groups focusing on international labor standards. The workshops were conducted by Verite, a US based NGO dedicated to improving working conditions in contract factories, Chulalongkorn University Social Research Institute, and North Carolina State University, College of Textiles. A pilot project to provide consulting on occupational health and safety and labor standards was set up to assist to SME members of the Thai Garment Manufacturers Association.

The Business Support Organization Partnerships

During the quarter two new large grant projects were approved by the AERA Working Group Committee, bringing the number of partnership projects approved to 22. Four large-grant projects have been successfully completed and nineteen partnership projects are underway. The new projects are:

- ***Upgrading the Capabilities of Post and Telegraph Department's Staff for National Telecommunication Commission's Secretariat Office*** to upgrade the capabilities of the officials of the Post and Telegraph Department (PTD) in preparation for its transformation into the Secretariat of the National
- Telecommunications Commission (NTC). The Federal Communications Commission (FCC) is to share its experience with PTD.
- ***Judicial Reform Project*** to partner the Office of the Court of Justice (OCJ) with the Federal Judicial Center (FJC), and the American Bar Association (ABA) to exchange knowledge and information on judicial reform issues.

Bank Training Program

This quarter training projects for three private Thai-owned commercial banks and two state-owned banks were process. In addition, the Program assisted the International Finance Corporation (IFC), the Thai Bankers' Association (TBA) and the Thai Institute of Banking and Finance Association (TIBFA) to hold a workshop on small enterprise credit strategy. More than 100 bank officials attended the workshop.

Education

This quarter the English through Entertainment completed its pilot phase and moved towards revision for expansion to 88 English training centers throughout the country. Work also moved forward on project proposals for technical assistance to the Thai government's establishment of a community college system and to the development of Mae Fah Luang University as a regional, English-language university. American counterparts include a consortium of US community college systems and Indiana University.

Border Action Against Malaria

During this quarter, major activities included:

- Implementation of *in vitro* sensitivity monitoring for the currently used front line antimalarial drugs in 9 border provinces under the national malaria sentinel surveillance drug resistance network initiative.
- Meeting of the working group on the Partnerships for a School-based Approach to Community Action Against Malaria subproject to review progress and planned activities.
- Training and learning exchange workshops for teachers from 25 schools in Tak and Mae Hong Son Provinces on guiding the process for in-depth study of community malaria epidemiology by school children with support from local Vector-borne Disease Control Units under the Partnerships for a School-based Approach to Community Action Against Malaria subproject.
- Participatory action research planning workshops in Trat Province to collaboratively generate interventions for malaria control among high-risk forest-related occupation groups in Bo Rai, Muang, and Klong Yai Districts.
- Participation in the 13th meeting of the Burma border malaria task force hosted by Shoklo Malaria Research Unit.
- Approval by USAID of a first tranche of \$200,000 of the requested \$400,000 additional funding for the the first year (FY 2002) of Phase II.

The Asia Foundation Promoting Good Governance Project

Over the past five quarters of the Promoting Good Governance Project, the Foundation has provided assistance to support five sub-projects. During the 5th Quarter of this project, three of the sub-grantees focused their efforts on completing the final element of their sub-projects; specifically, the preparation of their final report that will be due in the 6th Quarter. The Foundation has received draft reports from these sub-grantees; however, each is subject to a review process that is currently underway. Based on the feedback from these reviews, final versions of the reports will be prepared. The Foundation will subsequently report in greater detail on the substance of each of these reports. Two other sub-grantees, the Anti-Corruption Network and Transparency Thailand have continued their advocacy activities and are in the process of developing proposals for a second phase of activities.

The US Chamber of Commerce Thailand SME Development Project -- SIAM Development of Thai Institutional Partners (TIPs)

The Chamber signed MOUs with three new Thai Institutional Partners -- the Department of Export Promotion of the Ministry of Commerce, the Thai Auto-Parts Manufacturers Association and the Kenan Institute of Asia and two new U.S. institutional partners -- the

Software and Information Industry Association (SIIA) and Association of Information and Image Management (AIIM). The second videoconference with Thailand's Software Park attracted more than 80 senior IT executives and the Deputy Minister of Commerce, Dr. Suvarn Valaisathien. SIAM pursued collaboration with a number of Thai government agencies including the Ministry of Foreign Affairs and the Thai Trade Representative. The program completed market assessments of four target sectors: food, auto-parts, gems & jewelry and software & IT.

Constitutional Education for Citizens' Active Participation in Reform in Thailand Project (CE-CAP)

The CE-CAP this quarter conducted a total of 13 residential, two-day Constitutional Education seminars, educating leaders and rank and file members of farmers organizations and cooperatives, labor unions, community groups, and NGOs. A total of 535 people were trained in seminars in several areas of the country. These included "Network" seminars, which bring together representatives from a variety of organizations to learn together about the Constitution and ways to implement it.

CE-CAP started its work on the Official Information Act of B.E. 2540 (1997) with the hiring of a respected expert. A plan has been developed for OIA activities to take place in the first half of 2002. An OIA Project Advisory Committee (OPAC) for the project activities was formed with journalists, TJA activists, and academics. Two meetings of the Committee were held in the quarter. CE-CAP also assembled a working group of experienced labor and community leaders who began drafting chapters for the Labor Leaders Handbook on the Constitution.

Business Advisory Center

Marketing Activities

The BAC expanded its services to include financial advisory service in the 3rd year. This Access-to-Capital project aims to assist SMEs in need of capital to gain easier access to sources of fund. The project team offers company and industry analysis, financial strategy advice, and preparation of business plans, financial forecasts and other required documentation, as well as cooperating with the BAC consulting teams to work on the SMEs' weak areas in order to prepare them for either debt or equity financing. In order to prepare ourselves to offer this service, a network of financial institutions and venture capital entities has been developed. This financial network also serves as a marketing arm to reach new clients. A memorandum of understanding was signed with the Bangkok Metropolitan (Srinakorn) Bank on October 5 to provide a framework for the cooperation between the bank and the BAC.

A Strategic Advisory Partnership Agreement was signed with AccessCAPITAL on October 30 to outline their cooperation with the BAC in assisting Small and Medium Enterprises (SMEs) in finding institutional, private equity, and venture capital investors. The BAC will act as an implementing unit for the agreement by utilizing AccessCAPITAL's platform to reach investors worldwide.

Seminars/Workshops/Presentations

"Financial Management for Survival and Recovery" seminar

A seminar entitled "Financial Management for Survival and Recovery" was jointly organized with the Securities Analysts Association on October 17 and 18 for Thai SMEs at the Queen Sirikit National Convention Center. The presentation was conducted by 5 respected speakers from Chulalongkorn University, Kim Eng Securities Pcl, Siam Commercial Bank Plc., Pranda Jewelry Plc. and PricewaterhouseCoopers FAS Co., Ltd. The topics discussed include business assessment techniques, appropriate financing techniques, and effective debt restructuring techniques from both the entrepreneur and the financial advisor's perspective. The objective of the seminar was to provide financial management knowledge to Thai SMEs on how to survive through the current crisis.

AFTA Conference

On November 21, the BAC and the Federation of Thai Industries organized a conference on the ASEAN Free Trade Area (AFTA) agreement for an audience of 200 SME executives. A high-powered panel, consisting of senior officials from the Fiscal Policy Office, Ministry of Finance, the Federation of Thai Industry and the Thai Chamber of Commerce presented background information on the agreement, status of various tax reduction schemes, likely impact on Thai SMEs in various product categories and how to take advantage of the tax differences among the ASEAN members. The participating SMEs were encouraged to take advantage of numerous emerging opportunities ranging from relocating production or shifting sources of raw material to emphasize the ASEAN regional market and to take advantage of lower import costs among the member countries.

Training Workshops by PDA

In late November, BAC consultants conducted workshops on business topics during a 2-week training program hosted by the Thailand Population and Community Development

Association (PDA). Attended by representatives of NGOs from Thailand, Laos, Cambodia, Vietnam, the Philippines and Bangladesh, this training program was organized to convey the need for NGOs to generate income in order to remain sustainable.

Projects

The BAC's consulting projects were affected by the September 11 attack in the US. The uncertainty of international flying caused some volunteer experts to cancel their projects while some decided to take a "wait and see" attitude and postponed their projects until after the new year. A few experts, however, decided to fulfill their commitments without change.

During September 26 to October 10, Dr. Allen Davis, an expert on wildlife and fisheries and a professor at the Department of Fisheries and Allied Aquacultures, Auburn University, provided technical assistance to a Thai shrimp feed manufacturing company with particular emphasis on marine shrimp feed formulations and quality control. Dr. Davis successfully reviewed and provided recommendations for production strategies, technical support information/recommendations for farmers, shrimp feed formulations, nutrient and ingredient restrictions, process condition and feed quality, and quality control standards for finished feeds and feed ingredients.

During October 1 to 29, Mr. Cecil B. Henn, a volunteer expert on precision machining and tooling from IESC, provided management and technical assistance on strategic planning and production improvement to a precision parts manufacturer.

Another IESC volunteer expert, Mr. Raymond Staib, returned to Thailand on October 20 to assist a lighting fixture manufacturer in Chonburi province with the continuation of a project one year ago when he assisted the client in developing a Research & Development process for developing new lighting fixture products. Mr. Staib worked with the company to build capacity to develop better products.

During November 3 to 24, Mr. Harris Johnson, a volunteer expert from IESC with extensive experience and knowledge in circular knitwear fabrics, provided assistance to a textile manufacturer in Nakorn Pathom province. Mr. Johnson helped the client develop a master marketing strategy to penetrate international markets.

Mr. Paul Gross from CDC assisted a fastening products manufacturer during November and December. Mr. Gross has hands-on experience in not only managing several industrial fastener companies, but also in utilizing Enterprise Resource Planning (ERP) software to facilitate complicated production planning. He recommended both short and long-term strategies to the company in order to deal with their production schedule management to avoid late delivery and excess stocks. The short-term solution was to create a Master Production Schedule (MPS). For a longer-term strategy, he suggested procedures towards ERP including screening potential ERP suppliers.

Mr. Gerald Berstell, a marketing strategy expert from IESC, assisted an audio and electronic equipment designer, manufacturer and contractor during November 24 to December 15 to develop an effective marketing plan. Mr. Berstell has extensive consulting experience in the marketing field with a prestigious client roster.

Mr. John Brissenden, a hotel management expert from CDC, was in Thailand in late November to assist a medium-sized hotel in Saraburi province. He worked closely with the hotel management for approximately three weeks to develop a suitable business plan and take necessary steps to put in place essential management practices to ensure successful implementation of the developed plan. Mr. Brissenden has extensive hotel management experience with a number of large US hotel chains.

On November 28, Mr. Daniel Kramer from ACDI/VOCA arrived in Thailand to assist a department store shelving systems and commercial refrigeration equipment manufacturer in production operations. With extensive experience in commercial refrigeration equipment operations, Mr. Kramer worked with the company for 26 days to develop it into one of the country's most efficient manufacturers of high quality commercial refrigerators.

Professor Thanitsorn Chirapornchai, a Volunteer Expert from the Thai Volunteer Consulting Service (TVCS) worked on a project to assist a Rajabhat Institute getting prepared to move out of the central Rajabhat Institute system since August. Professor Thanitsorn has been conducting a series of workshops for the Institute's key management staff to brainstorm on the Institute's vision, mission, strategy, competitive positioning, etc. The final strategy, plans and appropriate organizational structure should enable the Institute to make a smooth transition to become a self-managed, independent organization. The planned brainstorming session in January will focus on identifying Key Success Factors and Key Performance Indicators crucial to the success of the Institute. The project is expected to take a few more months to complete.

Kenan OnLine

The BAC, Thailand Graduate Institute of Science and Technology (TGIST), an institute established by the National Science and Technology Development Agency (NSTDA), and The University of Thai Chamber of Commerce (UTCC) entered into an agreement on December 18, 2001 to develop Kenan OnLine. The product is intended to be a web-based distance-learning program to teach SME owners to write business plans.

The BAC will be responsible for providing case studies, examples and supplementary contents. It will also handle the marketing and finance responsibilities for the on-line courses. The UTCC will be responsible for preparing course outlines and contents, providing a team of instructors for administration of academic aspects of the web-based course, and also various course texts and reference books. The TGIST will be developing and designing the web-based course and providing technical functions and education media services to the UTCC for tutorials and academic counseling. The on-line business plan writing course is scheduled to be ready to accept its first students in March.

Competitiveness

The joint BAC-TVCS competitiveness team has prepared concept papers and project outlines for USAID and the Thai government with the objective of expanding KIASIA's role in assisting the Thai automotive industry, the Thai ICT business sector, and Thai agriculture cooperatives. The team, in coordination with the organizers of the 2002 Kenan Conference, has met with institutions and research centers for the automotive industry. In addition, the team assisted Kenan Institute Washington with their ICT study and funding proposal for Thailand.

The team brought over an American IT expert, Mr. John Sammons from IESC, in November to assist three Thai software companies achieve international certification. Mr. Sammons worked with these companies to document the Key Performance Areas necessary for achieving Capability Maturity Model (CMM) level two and three. CMM, an internationally recognized software methodology, enables IT companies to accurately forecast and control project duration and costs and is often a prerequisite for competing in the \$ 30 billion software outsourcing market.

The team met with Khun Charae Chutharatkul, President of the Thai Tapioca Development Institute, about the future potential of value added products for SME tapioca firms. A concept paper is being prepared to outline how the cluster approach can improve investment, innovation, and productivity for the tapioca industry.

In continuation of its CMM project, the competitiveness team met in December with a board member of the University of Southern California's SEI/CMM committee about strengthening South East Asia's CMM capabilities. Although just an initial meeting, the committee showed strong interest in the project and agreed to speak with its certified assessors about the possibility of coming to Thailand. Also while in California the team met with IT consulting companies interested in offshore outsourcing. Preparations are underway for these companies to visit Thailand and meet with prospective IT companies.

Labor Standards Development

In an effort to support follow-up to the Labor Codes Conference in March, the Labor Standards Development Project facilitated a series of workshops for the Ministry of Labour and Social Welfare, Industry Associations and labor groups focusing on international labor standards. The initial workshop was conducted by Verite, a US based NGO dedicated to improving working conditions in contract factories. This course was tailor-made to the interests of the related departments at the MOLSW.

KIASia also contracted the Chulalongkorn University Social Research Institute to conduct training for trade union representatives. This program focused on data gathering method utilized during factory interviews. The course was an opportunity for KIASia to build its relationship with Thai labor representatives.

In support of better business practices in the garment industry, the LSDP facilitated a seminar on "Productivity and Labor Standards". The three-day course was taught by Professor Gene Barbee from North Carolina State University, College of Textiles. The course was offered in cooperation with the Thai Garment Manufacturers Association to over 80 representatives from factories in Thailand. The program was part of an on-going program to improve productivity through training and responsible management practices.

In addition, the LSDP successfully launched a pilot project to provide consulting on occupational health and safety and labor standards to SME members of the Thai Garment Manufacturers Association. This project started in three factories seeking assistance in

compliance to international standards set by their buyers. The LSDP is currently producing a training manual for the garment industry on best practices.

The MOLSW has allocated a substantial budget for 2002 and is enthusiastic about upgrading the skills of the Department of Labor Protection and Social Welfare to handle questions regarding external labor standards.

Occupational Health and Safety

With AERA support the President of the American Board of Industrial Hygiene, Dr. Jimmy Perkins was in Bangkok delivering the Certified Industrial Hygienist Exam to members of the Occupational Health and Safety at Work Association (OSHA). In addition, Dr. Perkins delivered a keynote speech and participated in a workshop on chemical safety for the Annual OSHA Conference. This activity concluded the BSOP project for 2001, we hope to assist with the development of a Thai Board of Industrial Hygiene in 2002.

Current Developments

The LSDP received official accreditation to monitor the Fair Labor Association (FLA) standard. As all FLA member companies operating in Thailand are required to work with accredited auditors this may provide a revenue stream for future projects. Currently, the LSDP is pursuing private contracts to consult and audit contract factories in the region.

Business Support Organizations Program

The BSOP aims to develop mutually beneficial, sustainable partnerships between US and Thai business support organizations in order to strengthen the regulatory and competitive environment, business standards, and good governance practices for the Thai private sector.

The BSOP has been concentrating on projects, which develop and promote good governance, transparency, business structural reform, ethics, deepening of financial reform, and international competitiveness.

Projects Approved for Funding

On October 2, 2001, two new large grant projects were approved by the AERA Working Group Committee. A total of 22 partnership projects have been approved. Four large-grant projects were successfully completed and nineteen partnership projects are underway. The two new grants were:

1. *Upgrading the Capabilities of Post and Telegraph Department's Staff for National Telecommunication Commission's Secretariat Office (US \$106,561)*

This training and technical assistance program is to upgrade the capabilities of the officials of the Post and Telegraph Department (PTD) in preparation for the organization to be transformed into the Secretariat of the National Telecommunications Commission (NTC). This Commission is to operate as an independent regulator supervising telecommunications, and promoting competition and liberalization. The Federal Communications Commission (FCC) is to provide expert assistance to PTD, sharing with PTD its lessons learned in the job of telecom regulator. It will do this through

videoconferences and provision of documents as well as selecting experts capable of providing training on regulating the telecommunications industry. With assistance from the FCC, US experts will launch training programs focusing on telecommunication regulation process and supervision procedures in January and February 2002. The training will help the PTD officials to work in a liberalized environment from the telecommunication globalization. The project includes two components: Videoconference meetings between FCC and PTD – ninety-minute meetings are to be organized for presentations and discussion and a series of training sessions will be conducted by the U.S. expert and his training team.

2. Judicial Reform Project (US \$121,677)

The Office of the Court of Justice (OCJ), the Federal Judicial Center (FJC), and the American Bar Association (ABA) will exchange knowledge and information on judicial reform issues. This technical assistance and educational project is to promote judicial reform by applying new measures in the courts to eliminate unnecessary delays and improve efficiency in court proceedings on economic cases. The project will include two components:

- (1) Model Court component – the study and evaluation of new measures in model courts will be implemented by OCJ staff and Thai experts. Workshops in nine regional courts will be organized to introduce the application of new measures to the courts. In addition, Testimony Digital Audio Recording will be installed at the Intellectual Property and International Trade Court to help expedite the proceedings of cases in the court.
- (2) Educational Outreach Component – A series of quarterly journals on judicial reform will be developed and provided to all judges in Thailand and related persons to have better understanding of the judicial reform process. In addition, training, consulting, and short-term advisory assistance are being discussed.

The project has begun with a videoconference between OCJ and the U.S. partners, including FJC and ABA, on November 7, 2001, to clarify OCJ's goals and expectations of the project, and to explore the U.S. partner's resources.

Ongoing Activities

1. Building Capacity and Professional Standard Property Valuation in Thailand

The Appraisal Foundation's (TAF) representatives, Mr. David Bunton, Executive Vice President and Mr. Carl Schultz, international consultant, visited Thailand from November 26 - December 3, 2001. TAF is providing technical assistance to Thailand's Securities and Exchange Commission (SEC) under the "Building capacity and professional standards for property valuation in Thailand" project. TAF is to conduct a six-country comparative study of valuation standards, and make recommendations on valuation standards for Thailand.

The SEC, TAF, World Bank, and KIAAsia collaboratively developed this project after TAF's first visit to Thailand in August 2000. The drafting of a neutral Thai valuation standard, code of ethics and practice guidelines and the audit manual is being supported by the USAID AERA program, managed by KIAAsia. The World Bank is to support the training program for the valuation profession.

2. Secured Transaction Law Development and Implementation

Three U.S. experts from the American Bankruptcy Institute (ABI) traveled to Bangkok from October 1 – 5, 2001, to give consultation on legal and practical issues for the Secured Transaction Law and the establishment of Registry Office for Thai judges and staff at the Office of the Court of Justice and the Ministry of Commerce. The team included Mr. Steven Turner, Mr. Kenneth Atlas, and Mr. Harry Davis.

The ABI team also shared their experience and knowledge at a seminar for 300 participants on the "Draft Business Security Act" organized by the Office of the Legal Affairs on October 4, 2001 at the Grand Hotel Bangkok. This new "Business Security Act" will expand the types of assets which can be used as collateral for lending; for example, inventory, raw materials, accounts receivable, equipment, vehicles, intellectual property rights, etc. The participants included judges, lawyers, professors, and businessmen.

3. Community Leadership Training Program

Three trainers from the Community Leadership Association (CLA) of the United States came to Thailand to deliver a three-day community leadership train-the-trainer course organized by the Institute for Management Education and Training Foundation (IMET) on November 15 –17, 2001. The training was provided to 20 IMET volunteers on how to educate the private sector and businessmen to be good community leaders. After completing the train-the-trainers program, IMET is to deliver pilot training programs on community leadership in the country's four regions. The project aims to motivate business people to become better civic leaders in their communities in order to promote positive social change, community development, and good governance.

Please see other ongoing activities in BSOP matrix in Appendix A.

Bank Training Program

Workshop on Small Business Lending

On December 3-4, 2001, KIAAsia, the International Finance Corporation (IFC), the Thai Bankers' Association (TBA) and the Thai Institute of Banking and Finance Association (TIBFA) jointly organized a workshop entitled "State-of-the-Art Small Business Lending Best Practice & New Technologies in a Winning Small Enterprise Credit Strategy". The program was well received, with over 100 participants from both Thai and foreign banks attending the workshop. The topics included the importance of credit information and credit scoring for small business lending and adapting small business scoring technologies to the Thai market.

Project Implementation

The second year training projects for three private Thai-owned commercial banks and two state-owned banks are in the implementation stage. Over 1,600 bank staff have received practical, interactive training on risk management, debt restructuring, and control self assessment, which designed to bring Thai banks up to world class standards. The total funding cost for these five projects are \$ 645,153. The details on projects and training provider are as follows:

Bank	Project Name	Awarded Contractor
Bank of Ayudhya	Risk Management Implementation	PricewaterhouseCoopers
Thai Military Bank	Control Self-Assessment	PricewaterhouseCoopers
Siam Commercial Bank	Risk Management for Executive	PricewaterhouseCoopers
BankThai	Debt Restructuring	Deloitte Touche Tohmatsu
Krungthai Bank	Credit Risk Management	Deloitte Touche Tohmatsu

Two New Projects

The two new projects are ready for implementation in the third year of the BTP program, Thai Farmers Bank (TFB) and Bangkok Metropolitan Bank (BMB) have already been approved by the AERA working group committee. TFB received the second-round grant on the training project “SMEs Credit Skill Improvement”. The objectives of the training are to improve the skills and capabilities of the Relationship Managers on lending to small corporate clients. The training will be provided for 150 employees.

The Bank Training Program has arranged for BMB to receive support on “Risk Management for Financial Institutions” for its 150 staff from directors to branch managers. The objectives of the training are to create a common understanding and awareness among top and middle-level management and to be able to implement the concept to practices. The training provider selection process for these two banks will be started in February.

Education Program

English through Entertainment

The course launched at Satreevit School as a pilot project provided a satisfactory outcome. This new English-teaching approach proved to be effective in motivating students to improve comprehension and pronunciation. Because students enjoy the tapes, videos and activities in “English through Entertainment” have a good attitude towards learning English. They find English a pleasurable subject and are inspired to learn more. An improvement of student's grades at the end of the semester provided credible evidence that the approach is working.

The working committee is now completing the final draft of the lesson plan, teacher's manual and instructional media. Professional consultant team from the Language Institute of Chulalongkorn University has been invited to provide advice for the working team. An orientation workshop is scheduled in March for the managers of the 88 English Resource and Instruction Centers (ERIC) throughout Thailand. At the workshop, the working team will introduce the new English teaching approach and demonstrate the enjoyable English teaching-learning environment as well.

East-West Community College Project

In an effort to provide assistance to Thailand's Ministry of Education in establishing community colleges in Thailand, the Education team met with the Ministry's senior officials

concerned to follow up on the progress. The Ministry held workshops for all the personnel concerned including community colleges administrators and their staff from ten selected provinces and members of the provincial community college implementing board. The team also had a meeting with Dr. Beng Poh Yoshikawa, Director, International Affairs and Development, University of Hawaii, whose trip to Thailand was supported by the US Embassy. Dr. Yoshikawa represented the US East-West Community College Consortium. Dr. Yoshikawa agreed to develop a joint proposal between the Consortium and the Ministry of Education to propose for a grant from the AERA-Education Program. She planned to submit the proposal in January 2002.

Mae Fah Luang University Project

The Education Team met with Prof. Harry L. Gradman of Indiana University at Bloomington (IU) during his visit to Thailand to discuss ways to assist Mae Fah Luang University in its staff and curriculum development. Prof. Gradman and his team agreed to prepare a proposal jointly with the University. The Project was put on hold in this quarter because the new President of the University had not been named. In the first quarter of 2002, the Education team will follow up with MFL and IU now that Dr. Vanchai Sirichana has been appointed the new President.

Border Action Against Malaria

The Border Action Against Malaria Project (BAAM) works with a broad national coalition to slow the emergence and spread of multi-drug resistant malaria in Thailand's border areas. The program is developing new models of cooperation and partnership, particularly with community organizations, to monitor and respond to the threat that such resistant strains will spread, not only in Thailand, but also across the region. This is intended to contribute to decentralization and reform of public health systems, making them more effective and sustainable. The purpose of the project is to improve the national capacity to monitor and respond to multi-drug resistant malaria.

Project Activities

During the reporting period from October to December 2001, activities implemented included:

Sentinel site monitoring of in vitro sensitivity to antimalarial drugs: Under the BAAM supported national malaria sentinel surveillance drug resistance network strengthening initiative, monitoring of *in vitro* sensitivity to the currently used antimalarial drug treatment regimens was implemented by National Malaria Control Program officials in 9 border provinces.

Working Group Meeting: The working group on the Partnerships for a School-based Approach to Community Action Against Malaria subproject held a meeting to review progress to date and planned activities for the quarter.

Teacher training workshops under the Partnerships for a School-based Approach to Community Action Against Malaria subproject: Primary education officials (teachers, principals, provincial and district primary school education supervisors) from 25 schools in

Tak and Mae Hong Son Provinces in the Partnerships for a School-based Approach to Community Action Against Malaria subproject participated in learning exchange and training workshops on guiding the process for in-depth study of community malaria epidemiology by school children with support from local Vector-borne Disease Control Units.

Participatory action research planning workshop: Vector Borne Disease Control Office No. 5 organized two planning workshops in Trat Province, with local government officials, primary school teachers, military, Border Patrol Police, informal employers, subdistrict council members, and high-risk forest-related occupation groups to collaboratively generate interventions for malaria control in Bo Rai, Muang, and Klong Yai Districts. Working groups provided input into formulation of proposals for subgrants to support development of appropriate interventions for a community-based approach to malaria control in Thai-Cambodian border areas. These workshops were followed by consultations with key officials of Vector Borne Disease Control Office No. 5 on formulating a proposal for subgrant support from BAAM.

Burma Border Malaria Task Force meeting: BAAM Project Coordinator James Hopkins gave a presentation on the project at the 13th meeting of the Burma Border Malaria Task Force hosted by Shoklo Malaria Research Unit. International NGOs Aide Medicale International (AMI) and Malteser Germany (MHD) expressed interest in collaborating with BAAM in their “Thai Village Projects” near refugee camps in Mae Hong Son Province. Representatives were invited to observe teacher training on community epidemiology in Mae Hong Son Province in January 2002.

Major Activities Planned for the Next Quarter

Major activities planned for the next quarter from October to December 2001 include the following:

- Meeting of the working group on the Partnerships for a School-based Approach to Community Action Against Malaria subproject to review progress and planned activities.
- Teacher training workshops for primary education officials (teachers, principals, provincial and district primary school education supervisors) from 25 schools in Tak and Mae Hong Son Provinces for learning exchange and training on guiding the process for in-depth study of community malaria epidemiology and analyzing alternatives for community actions against malaria.
- Training for primary education supervisors in the new national curriculum and workshop on curriculum analysis and methodology for developing a local curriculum unit on community-based malaria prevention and control.
- Evaluation of learning and measurement of life skills improvement in sixth and ninth grade students participating in the Partnerships for a School-based Approach to Community Action Against Malaria subproject.
- Training for village health/malaria volunteers to support the process for in-depth study of community malaria epidemiology, analyzing alternative interventions, and planning community action against malaria.

- Study visit for primary education supervisors, principals, and malaria officials from Trat Province to observe the teacher learning exchange and training workshop in Tak Province on guiding the process for in-depth study of community malaria epidemiology and analyzing alternatives for community actions against malaria.
- Selection of sites to support Border Patrol Police primary school teachers to provide rapid diagnosis and treatment to populations in remote villages along the Thai-Burma border and for expansion of coverage of the Partnerships for a School-based Approach to Community Action Against Malaria subproject.

AERA Promoting Good Governance Project Implemented by The Asia Foundation

Research on Enhancing the Efficiency of Asset and Liability Statement Examination

As noted in the previous report, the NCCC-organized, multi-disciplinary team has completed its research and evaluation of the Asset and Liability review process conducted by the NCCC. During this quarter they completed the formal draft of the report they will submit to the National Counter Corruption Commission. The team distributed the draft to a select group of governance specialists for feedback and review. During the sixth quarter, they will revise their report as necessary and then make a formal submission to the NCCC. The team is currently awaiting additional AERA funds to pilot their proposal for an electronic format for the submission of Asset and Liability Statements.

Research on Enhancing Public Participation in Local Governance: NCCC/Political Economy Center

Researchers from the Political Economy Center of Chulalongkorn University completed preliminary analysis of their research data during the current quarter. They prepared a draft report based on their findings and developed initial recommendations. This draft was submitted at the end of December 2001 to the NCCC. After NCCC review and subsequent discussion, the team anticipates that it will complete its report in a final format for formal submission to the NCCC in February 2002. This will be followed by one or more workshops to disseminate the results of their research.

Research on the Concept of Conflict of Interest: The Institute of Human Rights Protection and Development (IHRPD)

The Institute of Human Rights Protection and Development (IHRPD) completed its Tambon-level survey and continued during the 5th Quarter to finalize its draft report. The report is being discussed through a series of workshops to secure feedback on research conclusions. Based on this information the team will prepare its final report during the 6th Quarter.

Enhancing Citizen Participation in Suppressing Corruption

Anti-Corruption Network (ACN)

The Anti-Corruption Network (ACN), in cooperation with the Pridi Panomyong Institute, launched an art contest for children and youth on the topic of good governance. Over 800 children submitted pieces to the organizers. On December 5, 2001, an eight-person committee, including the Representative of The Asia Foundation, reviewed all of the submissions and selected winning pictures in various categories. Students will receive their prizes from Thanphuying Poonsook Panomyong during a children's day ceremony on January 19, 2002. The ACN also initiated a pilot training curriculum in counter-corruption for student leaders in October 2001. An evaluation of the pilot should be available in the next quarterly report. In a parallel action, the ACN has been working with its regional network on the design of a counter-corruption training curriculum for adults.

The ACN also began to implement a series of regional fora to gather public support and to build constituencies for the creation of an Independent Organization for Consumers, as required under Section 57 of the 1997 Constitution. The objective of the IOC is to protect

consumer rights and to prevent corruption in the procurement of public goods and services, as well as to create ethical practices among the business sector.

Transparency Thailand (TT)

Transparency Thailand (TT) worked closely with the ACN to implement the Youth Camp during October 2001. In addition to providing resource persons for the Camp, TT also has organized an essay contest on “My View of Corruption”. The TT’s weekly radio-program on corruption has continued to be aired every Saturday from 12:40 - 2:30 pm since the beginning of July. One of TT’s major undertakings during the 5th Quarter was the launch of the results of their survey on unusual wealth at a public forum in November 2001. The forum, chaired by former Prime Minister Anand Panyarachun, received extensive media coverage. Finally, the TT continued its long-range practice of providing follow-up on specific issues of corruption and collecting information for its data-base.

SIAM – Managed by the US Chamber of Commerce

Development of Thai Institutional Partners (TIPs)

USCC has signed MOU's with three new Thai Institutional Partners and two new U.S. institutional partners. In Thailand, they were the Department of export Promotion of the Ministry of Commerce, the Thai Auto-Parts Manufactures Association and the Kenan Institute of Asia. In the U.S., the Software and Information Industry Association (SIIA) and Association of Information and Image Management (AIIM). All new partners will be assisting us to promote the SIAM program to their respective members.

Collaboration with TIPs

The second videoconference in a series of videoconferences with Thailand's Software Park was held on 12/12/01 on the topic of "Legal Concerns in doing IT Business with International Partners". More than 80 senior executives in the Thai IT/Software industries and the Deputy Minister of Commerce, Dr. Suvarn Valaisathien participated. The event was presented by Mr. John Thomas, a partner in Squire, Sanders & Dempsey, a Fairfax, Virginia law firm specializing in technology transaction, licensing, outsourcing and technology development.

Collaboration with the Royal Thai Government (RTG)

- Discussions were held the Director of Economic Affairs, the Ministry of Foreign Affairs in raising the SIAM Program to the level of a regional SMEs' development program. This was in the agenda in the meeting between Dr. Surakiat, Thai Foreign Minister and Collin Powell during Thaksin's visit to U.S. in early December 2001. Both countries signed an Economic Cooperation Framework with the SIAM Program as one of the programs codified in the Agreement.
- Dr. Kantathi, the Thai Trade Representative expressed his interest in the USCC developing a Sister-City program between three Thai provinces and three U.S. cities. This is an ongoing discussion.
- A proposal to Dr. Kantathi was proposed with the idea of developing a CEO Globalization Council in Thailand comprised of internationally oriented, dynamically managed Thai firms. The USCC would play an initial role in investigating the possibility and find ways and means to set it up. Dr. Kantathi, the Thai Chamber of Commerce and various Thai private enterprises have also supported the idea.

SIAM Program Development

Market Assessment of the four target sectors of the Thai industries were completed, i.e., Foods, Auto-Parts, Gem & Jewelry and Software & Information Technology. Discussions have been made with potential consulting firms for the development of a strategic business development plan to sustain the SIAM Program post USAID funding in 2003.

Technology Transfer

USCC is assisting two U.S. investors who are looking for Thai Partners to jointly invest in establishing seafood manufacturing facilities in Thailand and to jointly adapt Thai food restaurant concept in its regional food grocery. The U.S. investors will provide new technology and U.S. market access. In addition, a U.S. company has concluded a supplier agreement with a Thai firm to provide approximately \$500,000 annually in seafood products.

**Constitutional Education for Citizens' Active Participation
in Reform in Thailand Project (CE-CAP)
Implemented by the American Center for International Labor Solidarity (ACILS)**

Constitutional Education Activities

During the quarter, CE-CAP conducted a total of thirteen (13) residential, two-day Constitutional Education (CE) seminars for organizations operating at the level of factory floor and the community, educating leaders and rank and file members of farmers organizations and cooperatives, labor unions, community groups, and NGOs. A total of 535 grass-roots activists (263 women, 272 men) were trained in seminars which were held in the East (Rayong, Chantaburi, Chachoengsao), Northeast (Khon Kaen, Mahasarakham, Yasothon, Buriram, Chaiyaphum) and the North (Lamphun, Chiang Rai, Lampang) and Bangkok industrial suburbs (Pathum Thani). Two of the seminars were "Network" seminars, which bring together representatives of farmers, unions, community organizations, and NGOs to learn together about the Constitution, and compare notes about their experience.

The diversity of the groups being reached is evident from just a short review of the projects during this past quarter. Education workshops focusing on labor included CE seminars for the Rangsit Union Area Group (UAG) in Pathum Thani on October 6-7 and the Industrial Safety Officers Association in Chachoengsao. For farmers groups, the project worked with groups such as the Ruam Jai Agricultural Cooperative network in Mahasarakham, and women's farmer/community groups in Lampun organized by the Lanna Women's Association. Community and women's organizations reached included such groups as the Cha Sung Associate District Elderly Association (in Khon Kaen), and the Women Ethnic Support Organization in Chiang Rai. NGO groups that received training included P-Net activists in Chaiyaphum province who have taken the lead in educating about the Constitution and seeking to ensure clean elections.

Official Information Act Education Activities

CE-CAP started its work on the Official Information Act of B.E. 2540 (1997) and the Constitution in November 2001, when it hired Ms. Orapin Lilitwitsiwong, a former reporter with I-TV, as its OIA consultant/coordinator. Orapin has previously done work with the Freidrich Ebert Stiftung (FES) on reform of media structure and ownership of radio and TV frequencies, and works closely with the Thai Journalists Association (TJA) on numerous projects.

ACILS Country Representative Philip Robertson (PSR) and the CE-CAP staff worked closely with Orapin in developing a plan for OIA activities that will take place in the first half of 2002. An OIA Project Advisory Committee (OPAC) for the project activities was formed with journalists, TJA activists, and academics active in promoting use of the Official Information Act and increased professionalism of the media. Among the persons who are actively participating on the advisory committee are:

- Khun Hiranya Boonjamroon, Office of the Official Information Act Committee
- Khun Jaran Wapkien, Office of the Official Information Act Committee
- Khun Chavarong Limpatompanee, Secretary-General, Thai Journalists Association
- Khun Jira Hongsamreung, President, Association of TV and Radio Journalists
- Khun Tepchai Yong, Deputy President, Association of TV and Radio Journalists

- Assistant Professor Pisit Chawalawat, formerly of Mass Communications Faculty, Thammasat University (now retired)
- Professor Sanit Chomchan, former committee member, Official Information Act Committee
- Khun Warin Tiemjaran, Lawyers Council of Thailand
- Khun Bundhit Thanachaisetavut, Arom Pongpangnan Foundation
- Khun Rosana Tositrakul, Chairperson, Federation of Consumers
- Khun Piroj Ponpaechon, Union of Civil Liberties
- Professor Michael Nelson, King Prajadhipok Institute
- Khun Brason Lertratanawisoot, Board member, Thai Newspaper Journalists Association

CE-CAP held its first meeting with the OPAC on December 11 at the Thai Newspaper Journalists Association building in Bangkok. PSR explained the origin of the OIA project, which had originally been developed in close consultation with Kavi Chongkittavorn (Editor, *The Nation* newspaper and former President of the TJA). CE-CAP manager Bunjong Siri also explained the work of the broader CE-CAP project, and how the OIA project fits within the efforts to educate to sustain reform in Thailand. The OPAC then moved to focus on which issues in the OIA law need to be focused on, and what practical obstacles have developed in the implementation of the law by working journalists and community activists. Decisions were taken to set the dates for OIA Training of Trainer programs, names of resource persons to be invited, methods to screen potential participants to ensure they will be active users of what they are taught, and overall content of the TOT course. The first TOT is set to take place in Nakon Nayok province in January 23-25, and the second TOT will take place in early March.

A second, follow-up OPAC meeting was held at the CE-CAP office on December 19. The meeting further finalized details of the work plan, and gave guidance to Orapin for arranging the project activities.

Publications

CE-CAP has assembled a working group of very experienced labor and community leaders who are hard at work drafting chapters for the Labor Leaders Handbook on the Constitution. Among some of the drafters are:

- Mr. Biyachet Klaewklad (President, Federation of Paper & Printing Workers of Thailand);
- Mr. Somsak Kosaisook (President, State Railway of Thailand union, and former Secretary-General, State Enterprise Worker Relations Confederation);
- Ms. Wanpen Premkaew (Vice-President, Labor Congress of Thailand, and President, Thai Metalworkers Federation);
- Mr. Bundhit Thanachaisethavut (Researcher, Arom Pongpangnan Foundation);
- Ms. Arunee Srito (Commissioner, Election Commission of Thailand for Samut Prakan province and former President, Thai Durable Textile Union);
- Mr. Broong Deesee (Coordinator, Center for Labor Development – Samrong, and advisor, Thai Automobile Workers Federation);

Coordination

Ms. Bunjong Siri continues to coordinate the work of the CE-CAP team. Quarterly reports of activities (produced in Thai language by the CE-CAP team) are sent on a regular basis to the CE-CAP Steering Committee (CCSC).

CE-CAP held a meeting of the CCSC on October 26 at the office of the Election Commission of Thailand (ECT) in Bangkok. The meeting was held to review progress of the project, evaluate successes and failures of the activities to date, and receive feedback and guidance from the CCSC members. CCSC representatives from government (the Civil Service Commission, the Ministry of Labor & Social Welfare), academia, labor, NGOs, and farmer/cooperatives all attended this important meeting.

Successful Uses of the Constitution

Thai Grieng Textile Union

During the quarter, the CE-CAP team followed up on cases that are pending before various independent institutions set up by the Constitution, including the Thai Durable Textile (Thai Grieng) case at the Parliamentary Ombudsman's office, and the case of missing labor leader Tanong Pho-arn at the Official Information Act committee.

Missing Labor Leader Tanong Pho-Arn

During the quarter, Mr. Biyachet Klaewklad (who filed the original letters seeking information on Tanong's disappearance and appealed the refusal of the Parliament and the Ministry of Interior to release information) sent a new letter to the Official Information Act. His letter protested that while the law only provides 60 days for the OIA Committee to consider an appeal after it is filed, the appeal of Tanong has been pending for almost 9 months with no answer. Failures to act expeditiously in such matters can serve to undermine public confidence in the Constitution and its legal mechanisms, Biyachet noted.

On November 20, Adisorn Pho-arn, the son of Tanong, visited the National Human Rights Commission and filed a formal complaint requesting a NHRC investigation of his father's case. The case was reviewed for receivability by the NHRC and accepted. In a letter dated December 19, 2001, NHRC Commissioner Bradit Charoenthaitawee informed the Pho-arn family that Tanong's case had been accepted and assigned case number 132/2544, and that the investigation would start promptly.

APPENDIX



APPENDIX A: BSOP Projects Matrix

Projects Approved for Funding				
Large Grants				
Project Name / Objective	Description	Objectives/ outcomes	Partners	Status
Standards of Practice Handbook: Certified Investment and Securities Analyst Program / <i>Financial Governance</i>	The Association for Investment Management and Research (AIMR) and the Securities Analysts Association (SAA) are promoting a "code of ethics" for the Thai corporate sector by translating and adapting the AIMR's manual for the Thai environment.	To build up a strong ethics and professional standards program	SAA (Thai), AIMR (US)	The project has been completed.
Upgrading the Capability of Accounting Instructors / <i>Financial Governance</i>	The Institute for Certified Auditors and Accountants of Thailand (ICAAT) is developing accounting instructional aids including video tapes, computer programs and teacher's manuals to use in higher education with assistance from a US counterpart university.	Improved accounting standards particularly outside of Bangkok.	ICAAT (Thai), U.S. University	The video production team is working on material production, including videos, transparencies, disks, and manuals for 11 topics.
Comparative Study on Thailand's Savings and Investment Tax Policy / <i>Financial Governance</i>	The study is to examine the impact of Thailand's present tax structure on the financial and capital markets from both the economic and administrative aspects. The Security Exchange Commission of Thailand (SEC) asked for the Thai Development Research Institute with assistance from U.S. experts to conduct the study.	More attractive tax provisions for investors.	Thai SEC, TDRI, U.S. Counterpart	The project has been completed.



Projects Approved for Funding

Large Grants

Project Name / Objective	Description	Objectives/ outcomes	Partners	Status
Bankruptcy Court Administration Program Part I / <i>Corporate Governance</i>	The American Bankruptcy Institute (ABI) is providing technical assistance to the Thai Central Bankruptcy Court (CBC) in training and establishing systems to deal under the revised laws with the backlog of corporate bankruptcies.	To improve the capabilities of the CBC and develop a relationship between CBC & ABI	CBC (Thai) ABI (U.S.)	Seven CBC officials will visit the U.S. courts in Miami and Los Angeles from February 2 – 16, 2002 to complete the Mentorship Program.
Bankruptcy Court Administration Program Part II / <i>Corporate Governance</i>	As a result of ABI's recommendation in Part I of the program, additional technical assistance and training is to be given to CBC by the ABI-member team in area of IT	To improve the capabilities of the CBC and strengthen the relationship between CBC & ABI	CBC (Thai) ABI (U.S.)	The videoconference equipment will be installed at the CBC in January 2002.
Thai Bankers Handbook / <i>Corporate Governance</i>	The Thai Institution for Banking and Finance Association (TIBFA) under a licensing agreement with the American Bankers Association (ABA).	Completion and use of handbook throughout Thailand	TIBFA (Thai) ABA (U.S.)	The first draft of translation and adaptation of the handbook was completed in November 2001.



Projects Approved for Funding

Large Grants

Project Name / Objective	Description	Objectives/ outcomes	Partners	Status
Performance Audit Workshop / <i>Corporate Governance</i>	The training program will be delivered as a two-week workshop introducing the fundamental principals, concepts, and methods of performance auditing. This workshop includes a three-day module on instructional skill to improve the ability of participants to pass on their newly acquired knowledge and skills to the SAO's auditors throughout the country.	To improve the capability of the SAO's auditors in performance auditing	SAO (Thai) GAO (U.S.)	The project has been successfully completed.
Building Capacity and Professional Standard for Property Valuation in Thailand / <i>Financial Governance</i>	The Appraisal Foundation (TAF) will provide technical assistance to the Securities Exchange Commission (SEC) to develop a single standard, code of ethics and its audit manual for Thai valuation profession. A single, neutral standard, code of ethics and audit manual for supervision will improve the transparency and accuracy of appraisals and will have a positive impact on financial and capital markets sector.	Single standard, code of ethics, and its audit manual for Thai valuation profession	SEC (Thai) TAF (U.S.)	The SEC has already selected the Thai expert team to implement the project. Two representatives of TAF, Mr. David Bunton and Mr. Carl Schultz visited Thailand from November 26 - December 3, 2001 to hold meetings with the SEC and other stakeholders.



Projects Approved for Funding				
Large Grants				
Project Name / Objective	Description	Objectives/ outcomes	Partners	Status
ABIH Certified Industrial Hygienist Program / <i>International Competitiveness</i>	The Occupational Health and Safety at Work Association (OHSWA) of Thailand proposes to join the American Board of Industrial Hygiene (ABIH) to provide training and establish a certificate program	To upgrade the professional skills of Thai health officials and strengthen the relationship between OHSWA and ABIH	OHSWA (Thai) ABIH (U.S.)	The project has been completed.
SET Junior Achievement Thailand / <i>International Competitiveness</i>	The BSOP is providing support to the Thailand Securities Institutes (TSI) of the Stock Exchange of Thailand (SET) to organize, develop and deliver economics education programs for Thai youth and to promote Thai capital markets. Junior Achievement International (JAI), an International arm of the well-known US economic education organization, will provide the TSI with curriculum, education materials, and expert technical and training assistance.	To promote the long-term development of the capital market and stock market in Thailand	SET-TSI (Thai) JAI (U.S.)	Since the program started, 1,151 students were trained. 21 schools have participated in the program.



Projects Approved for Funding

Large Grants

Project Name / Objective	Description	Objectives/ outcomes	Partners	Status
Thai Bond Market Development/ <i>Capital Market Development</i>	The co-operation between Thai BDC and TBMA will be focused on the technical assistance and consultation, translation and adaptation of standards materials, as well as the introduction of an appropriate organizations in the U.S. to provide training course to the Thai BDC. This cooperative program is to develop bond market in Thailand	To develop the bond market in Thailand	Thai BDC TBMA (U.S.)	The Thai BDC is working on the translation of TBMA's materials.
Secured Transaction Law Development and Implementation/ <i>Financial Governance</i>	American Bankruptcy Institution (ABI) is providing technical assistance to the Office of the Court of Justice (OCJ) to promote a new law on Business Secured Transaction in Thailand and to prepare the establishment of Registry Office.	To promote a new Business Secured Transaction Law and to prepare for the establishment of a Registry Office	OCJ (Thai) ABI (U.S.)	The ABI team led by Mr. Steven Turner delivered a seminar on Secured Transaction Law and shared the U.S. lesson learned with Thai judges and stakeholders in Bangkok from Oct 1 – 5 , 2001
Community Leadership Training Program/ <i>Corporate Governance</i>	The Institute for Management Education for Thailand foundation (IMET) is joining with The Community Leadership Association (CLA) to conduct a training on Community Leadership program to promote good community leadership throughout Thailand	To promote good community leadership throughout Thailand	IMET (Thai) CLA (U.S.)	Three trainers from CLA came over to Thailand from November 15 – 17 to deliver a train-the-trainers program organized by IMET.



Projects Approved for Funding

Large Grants

Project Name / Objective	Description	Objectives/ outcomes	Partners	Status
Mediation Center for Financial Disputes Program/ <i>Financial Governance</i>	American Bankruptcy Institution (ABI) is providing technical assistance and training to enhance the efficiency and capabilities of a newly-established Mediation Centre for Financial Disputes (MCFD), including mediators, financial advisors, and staff.	To enhance the ability and creativity of mediators, financial advisors, and MCFD staff	DRO (Thai) ABI (U.S.)	The ABI team will travel to Bangkok to deliver a train-the-trainer course on mediation in mid January.
Organic Inspection Training Program/ <i>International Competitiveness</i>	The Independent Organic Inspectors Association (IOIA) is providing technical assistance to the Department of Agriculture (DOA) to develop the organic crops inspection process in Thailand	To build Thai government officer's capabilities to inspect organic crops	DOA (Thai) IOIA (U.S.)	DAO has a plan to discuss with IOIA on a training course.
Productivity and Workplace Improvement/ <i>International Competitiveness</i>	Occupational Safety and Health Association (OSHA) and the National Institute of Occupational Health and Safety (NIOSH) are joining with the National Institute for the Improvement of Working Conditions and Environment (NICE) to deliver a train-the-trainer program on "Productivity and Workplace Improvements" in Thailand.	To upgrade the skills of regional NICE officers.	NICE (Thai) OSHA (U.S.) NIOSH (U.S.)	KIAsia is bringing together the expertise of the ILO and US – OSHA to support this project.



Projects Approved for Funding

Large Grants

Project Name / Objective	Description	Objectives/ outcomes	Partners	Status
Upgrading the Capabilities of Post and Telegraph Department's Staff for National Telecommunications Commission's Secretariat Office/ <i>Corporate Governance</i>	This training and technical assistance program is to upgrade the capabilities of the officials of the Post and Telegraph Department (PTD) in the preparation of the organization to be transformed into the Secretariat of the National Telecommunications Commission (NTC) which is to operate as an independent regulator regulating telecommunications, and promoting competition and liberalization.	To upgrade the capabilities of PTD's officials	PTD (Thai) FCC (U.S.)	The project has been approved by the AERA Working Group Committee on October 2, 2001. The U.S. experts planned to deliver a training program in late January 2002.
Judicial Reform Project/ <i>Corporate Governance</i>	The Office of the Court of Justice (OCJ), the Federal Judicial Center (FJC), and the American Bar Association (ABA) have joined together to exchange knowledge and information on judicial reform issue. This training and technical assistance program aims to promote the application of new measures in the Thai courts in order to eliminate unnecessary delays and to improve efficiency in the court proceedings of economic cases.	To improve efficiency in the court proceedings	OCJ (Thai) FJC (U.S.) ABA (U.S.)	



Projects Approved for Funding				
Small Grants				
Project Name / Objective	Description	Objectives/ outcomes	Partners	Status
Technical Assistance for Thailand Rating Information Services (TRIS) / <i>Financial governance</i>	Technical assistance and training is to be given to TRIS by an expert sourced under the International Executive Service Corps (IESC) in the area of bond rating.	To strengthen Thai corporations' abilities to raise funds by improving the capabilities of TRIS in bond rating.	TRIS (Thai), IESC (US)	The project has been completed.
TRIS – Trip # 2	To provide expert assistance from a US Private Volunteers Organization (PVO) to help TRIS in the area of credit rating methodologies and procedures, securitization, and staff training	To strengthen Thai corporations' abilities to raise funds by improving the capabilities of TRIS in credit rating methodologies and procedures, securitization	TRIS (Thai), CDC (US)	The BSOP is looking for the U.S. partner
APICS-TLAPS Partnership #1 / <i>International Competitiveness</i>	Mr. Richard Welch, the former President of APICS, attended TLAPS annual seminar in Thailand on May 5 – 22, 2000 with support under the BSOP fund.	To establish long-term cooperation between TLAPS and APICS	TLAPS (Thai), APICS (US)	The project has been completed



Projects Approved for Funding				
Small Grants				
Project Name / Objective	Description	Objectives/ outcomes	Partners	Status
APICS – TLAPS Partnership #2 / International Competitiveness	The BSOP has provided financial support to the President and advisor of the Thai Logistics And Production Society (TLAPS) to attend APICS conference in U.S.A.	To establish long-term cooperation between TLAPS and APICS		The project has been completed
International Auditor Fellowship Program 2001 / <i>Corporate Governance</i>	To provide financial support to the Office of the State Audit of Thailand (SAO) to send its staff to attend The GAO Fellowship program, which supports the goal of the International Organization of Supreme Audit Institutions (INTOSAI) to strengthen auditing and financial management practices and promotes the sharing of information and experiences among Supreme Audit Institutions (SAI) throughout the world.	To improve the capabilities of the SAO staff and develop a relationship between SAO and GAO	SAO, General Accounting Office (GAO)	Mr. Karanee Butrameeboon has been selected to participate in the GAO Fellowship program 2001 between May 14 to September 21, 2001 at GAO in Washington D.C., U.S.A. The program has been completed.
The International Auditor Fellowship Program's field office placement 2000 / <i>Corporate Governance</i>	The program is a continuation of the International Auditor Fellowship program and is designed to provide the participant with training, which includes gaining practical experiences in designing and implementing audits.	To improve the capabilities of the SAO staff and develop a relationship between SAO and GAO	SAO (Thai) GAO (U.S.)	The program has been completed.



Projects Approved for Funding

Small Grants

Project Name / Objective	Description	Objectives/ outcomes	Partners	Status
Land Assessors' standard and procedures/ <i>Financial Governance</i>	Mr. James Park, Director of Research and Technical Issues from the Appraisal Foundation came over to hold talks with key Thai organizations involved in the land assessment in late August.	Improve knowledge of assessors in Thai assessment organizations	Assoc. of Thai Assessors, Appraisal Foundation (U.S.)	The program has been completed.
Certificate for Occupational Health and Safety / <i>International Competitiveness</i>	To provide financial support to Mr. Dan Agopsowicz, the Board Chair of the American Board of Industrial Hygiene to deliver a seminar to the Occupational Health and Safety at Work Association in Thailand	To establish a certificate program to comply with the new Labor Act.	OHSWA (Thai), ABIH (U.S.)	The program has been completed.
SET Derivatives Program / <i>Financial Governance</i>	Mr. Robert B. Gilmore, an expert consultant, came to provide technical assistance and training to the Stock Exchange of Thailand (SET) to improve SET's knowledge of derivatives and systems to offer derivatives products.	To prepare the SET's staff for delivering of new derivatives products.	SET (Thai), U.S. partner	The program has been completed.
Thai Credit Bureau / <i>Financial Governance</i>	To provide financial support to the Central Credit Information Services Co., Ltd. to send Mr. Samma Kitsin, Managing Director, to attend the Second Consumer Credit Reporting World Conference held by the Associated Credit Bureau in U.S.	Better knowledge on credit bureaus	Central Information System / US Partner	The program has been completed



Projects Approved for Funding				
Small Grants				
Project Name / Objective	Description	Objectives/ outcomes	Partners	Status
BMA – Thai BDC Partnership/ <i>Financial Governance</i>	To provide expert assistance form the Bond Market Association (TBMA) to hold the meetings with the Thai Bond Dealing Centre (Thai BDC) and the Thai regulators in the area of bond market development in Thailand. This is the first step of building the partnership between Thai DBC and TBMA.	To build the partnership between Thai BDC and TBMA	Thai BDC, TBMA (U.S.)	The trip has been completed
International Pension Exchange Program/ <i>Corporate Governance</i>	To provide support to the US. experts from Pacific Pension Institute (PPI) to provide assistance to Government Pension Fund (GPF) of Thailand and to give a presentation at the "World Class Fund Management" conference held by GPF.	To educate GPF staff on pension fund management	GPF (Thai), PPI (U.S.)	The project has been completed.
Thai Telecommunication Development/ <i>International Competitiveness</i>	The Post and Telegraph Department (PTD), the Communication Authority of Thailand (CAT) and the Telephone Organization of Thailand (TOT) sent the delegation to attend the "Southern Asian Telecommunications Regulatory Workshop" in Jakarta, Indonesia. In addition, the BSOP also has provided expert assistance to set up regulatory procedures for Thai National Telecommunications Commission. (NTC)	To develop regulatory procedures for Thai NTC.	PTD (Thai), CAT (Thai), TOT (Thai), U.S. expert	The trip has been completed.